

# Statement of Economic Interests

Filed in 2016 for calendar year 2015 by

**Allen, Scott E.**

Legislature

Assembly District 97

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GOVERNMENT ACCOUNTABILITY BOARD

FOR EXPLANATIONS, EXAMPLES AND EXCEPTIONS SEE THE INSTRUCTIONS OR VISIT OUR WEBSITE AT <http://ethics.state.wi.us>.  
Still have questions? For priority service send an e-mail to: [GABEthics@wi.gov](mailto:GABEthics@wi.gov); otherwise leave a detailed message at (608) 261-2028.  
ATTACH ADDITIONAL PAGES AS NEEDED/PLEASE SEE INSTRUCTIONS

**Part A**

**As of December 31, 2015**

**1. INVESTMENTS**

**a) WISCONSIN DEFERRED COMPENSATION PROGRAM**

If you held an investment in a fund available within the

Wisconsin Deferred Compensation Program, please place a checkmark next to each fund in which you held \$5,000 or more, whether held privately or through the Program.

Profile Series	\$5,000 to	More than	Small Cap	\$5,000 to	More than	Bond	\$5,000 to	More than
	\$50,000	\$50,000		\$50,000	\$50,000		\$50,000	\$50,000
Vanguard Retirement 2055	<input type="checkbox"/>	<input type="checkbox"/>	BlackRock Russell 2000 Index	<input type="checkbox"/>	<input type="checkbox"/>	BlackRock US Debt Index	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2045	<input type="checkbox"/>	<input type="checkbox"/>	DFA US Micro Cap	<input type="checkbox"/>	<input type="checkbox"/>	Federated US Government Securities 2-5yr	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2035	<input type="checkbox"/>	<input type="checkbox"/>	<b>Mid Cap</b>			Vanguard Long-term Investment Grade Adm	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2025	<input type="checkbox"/>	<input type="checkbox"/>	BlackRock Mid Cap Equity Index	<input type="checkbox"/>	<input type="checkbox"/>			
Vanguard Retirement 2015	<input type="checkbox"/>	<input type="checkbox"/>	T Rowe Price Mid Cap Growth	<input type="checkbox"/>	<input type="checkbox"/>	<b>Money Market</b>		
Vanguard Target Retirement Income	<input type="checkbox"/>	<input type="checkbox"/>	<b>Large Cap</b>			Vanguard Admiral Treasury Money Market	<input type="checkbox"/>	<input type="checkbox"/>
			Calvert Social Investment Equity	<input type="checkbox"/>	<input type="checkbox"/>	<b>Fixed</b>		
			Fidelity Contrafund	<input type="checkbox"/>	<input type="checkbox"/>	Stable Value	<input type="checkbox"/>	<input type="checkbox"/>
<b>International</b>			Vanguard Institutional Index Plus	<input type="checkbox"/>	<input type="checkbox"/>	FDIC Bank Option	<input type="checkbox"/>	<input type="checkbox"/>
American Euro Pacific Growth	<input type="checkbox"/>	<input type="checkbox"/>	Vanguard Wellington-Admiral Shares	<input type="checkbox"/>	<input type="checkbox"/>			
BlackRock EAFE Equity Index	<input type="checkbox"/>	<input type="checkbox"/>						

**b) OTHER INVESTMENTS** List stocks, bonds, limited partnerships, Wisconsin governmental securities, and mutual and money market funds in which you and your family's interest was valued at \$5,000 or more.

**MUTUAL OR MONEY MARKET FUND**

(check one) \$5,000 to \$50,000 More than \$50,000

	(check one)	\$5,000 to \$50,000	More than \$50,000
✓ American Fundamental Inv F-2	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
✓ American Funds 2025 Target Date Fund R3	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
✓ American Funds American Hi Inc Tr R3	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<del>American Funds Capital Income Builder R3</del>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
✓ American Funds EuroPacific Gr R3	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
✓ American Funds New Perspectives R3	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<del>American Funds New World Funds R3</del>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
✓ American GR FD of America F-2	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
✓ Delaware INTL Value Eqty INST	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<del>Invesco Comstock R</del>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
✓ Invesco Conservative Alloc A	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
✓ Invesco Moderate Allocation A	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
✓ Invesco Real Estate R	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

see attached

<input checked="" type="checkbox"/> Lord Abbett Mid Cap Stock R3	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>Invesco Intl Growth C</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>Harding Loevner Emerg Mkts Adv</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>STOCKS/OPTIONS/FUTURES</b>	(check one)	\$5,000 to \$50,000      More than \$50,000
<del>Cohen &amp; Steers Qual Inc Rlty</del>	<del><input checked="" type="checkbox"/></del>	<input type="checkbox"/>
<del>D R Horton Inc</del>	<del><input checked="" type="checkbox"/></del>	<input type="checkbox"/>
<del>SL Green Realty Cp</del>	<del><input checked="" type="checkbox"/></del>	<input type="checkbox"/>
<i>Apple Inc</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>
<b>BONDS</b>	(check one)	\$5,000 to \$50,000      More than \$50,000
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
<b>LIMITED PARTNERSHIPS</b>	(check one)	\$5,000 to \$50,000      More than \$50,000
<input checked="" type="checkbox"/> Blackstone Group LP	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> Brookfield PPTY Partners LP	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
<b>WISCONSIN GOVERNMENTAL SECURITIES</b>	(check one)	\$5,000 to \$50,000      More than \$50,000
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>

**2. BUSINESS ACTIVITIES** List businesses, farms, rental, commercial, and income-producing real estate; and business activities in which you or your family had at least a 10% or greater interest.

**a) Enterprise(s) operating under a business or trade name, list here.**

Name of business	Municipality or Town	County	State	Describe nature of business
Allen Properties LP	Town of Waukesha	Waukesha	WI	Real Estate Holding Partnership
Allen Real Estate LP	Town of Waukesha	Waukesha	WI	Real Estate Holding Partnership
Bright Future Sales Coaching LLC	Town of Waukesha	Waukesha	WI	coaching
Seal Enterprises, LLC	Town of Waukesha	Waukesha	WI	Real estate management

**b) Enterprise(s) NOT operating under a business or trade name, list here.**

Name of business	Municipality or Town	County	State	Describe nature of business
6232 W Richmond Ave	Milwaukee	Milwaukee	WI	Real Estate - Rental
S42W25312 Dale Drive	Town of Waukesha	Waukesha	WI	Real Estate Sale, Publishing, Talent

- 3. COMMERCIAL CUSTOMERS, CLIENTS, AND TENANTS** For each unincorporated business, subchapter S corporation, service corporation (SC), limited liability company (LLC), partnership, or income-producing real estate reported in Item 2, from which the filer or a member of the filer's immediate family received \$10,000 or more in 2015, list businesses, organizations, and lobbyists that paid the enterprise \$10,000 or more in calendar year 2015.

Check if the organization authorized you to represent it in its dealings with others as an attorney-at-law, agent, spokesperson, or representative.

Businesses, organizations, lobbyists that were customers, clients, or tenants	City	State	"✓"
Shorewest Realtors	Brookfield	WI	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

- 4. BUSINESS PARTNERS** For each enterprise reported under Item 2, list its co-owners, partners, officers, and directors (other than yourself), unless the information is already registered with the Wisconsin Department of Financial Institutions.

Business	Partners, or officers and directors	City	State
6232 W Richmond Ave	Angila L. Allen	Town of Waukesha	WI

- 5. NON-COMMERCIAL REAL ESTATE** List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you already listed in item 2).

Location of property Street address or fire number	Municipality or town	County	Nature of interest (e.g. own, lease, option, easement, land contract)
331 West Side Dr	Mukwonago	Waukesha	own
6232 W Richmond Ave	Milwaukee	Milwaukee	own

- 6. OFFICERS AND DIRECTORS** List organizations of which you or a family member was an officer or director (unless already listed in item 2).

Business or organization	City	State	Position

- 7. AGENT, REPRESENTATIVE OR SPOKESPERSON** List organizations that authorized you or a family member to represent them in their dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless already listed in item 2, 3 or 6).

Business or organization	City	State

- 8. CREDITORS** List creditors to which you or your family owed \$5,000 or more. (check one)

Creditor	City	State	\$5,000 to \$50,000	More than \$50,000
<del>Landmark Credit Union</del>	<del>New Berlin</del>	<del>WI</del>	<del><input checked="" type="checkbox"/></del>	<input type="checkbox"/>
Wells Fargo Home Mortgage	Des Moines	IA	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

**9. EMPLOYERS** List your and your family's EMPLOYERS (\$1,000 or more of income) in 2015.

Name of employer (if State of Wisconsin, also identify agency or institution)	City	State	Nature of employer's business
Shorewest Realtors	Brookfield	WI	Real Estate Sales
Wisconsin Mortgage Corp	Brookfield	WI	Mortgage Loan Origination

**10. ADDITIONAL SOURCES OF INCOME** List other sources from which you or your family received income of \$1,000 or more in 2015.

Source of income	City	State

**11. ENTERTAINMENT AND GIFTS** List individuals and organizations that provided you with entertainment or gifts (more than \$50) in 2015.

Name of provider	City	State

**12. HONORARIA AND EXPENSES** List, for 2015, sources of honoraria and payment of expenses related to your state government duties (more than \$50) not previously reported to the Government Accountability Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt

## FILING NOTES -or- COMMENTS

If we saw an opportunity to clarify a prior report, we added comments or filing tips below. Please review any comments and make the appropriate corrections.

## CERTIFICATION

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. In the event this Statement of Economic Interests is filed prior to December 31st for an election in the following year, I certify that I will amend it on or before the statutory filing deadline if an amendment is necessary to bring it into conformity with the true statement of my economic interests as of December 31, 2015. If any part has been left blank, I have done so intentionally because there is nothing to report.



Signature of person filing

Daytime phone # (262) 442-8695

8 Apr 16

Date

scott@allenforwisconsin.com

E-mail address

The information sought in this form is required by §§19.43 and 19.44, *Wisconsin Statutes*. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Government Accountability Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), *Wisconsin Statutes*, the Government Accountability Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Mail, email or fax to:

Wisconsin Government Accountability Board, P.O. Box 7984, Madison, WI 53707-7984;

Email: GABEthics@wi.gov; Fax: (608) 264-9319

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Additional Investment Holdings for Scott E. Allen for April 2016 Statement of Economic Interests

American Funds American Balanced R3	\$5,000 - \$50,000
American Funds Bond Fund of Amer R3	\$5,000 - \$50,000
Columbia Mid Cap Growth R	\$5,000 - \$50,000

